



2-7-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: )  
John Mulloy et al. ) Before the Examiner  
Serial No. 10/705,737 ) Not Yet Assigned  
Filed November 11, 2003 ) Group Art Unit 3748  
VARIABLE GEOMETRY TURBINE ) Our Ref.: 27049-20

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John H. Allie

Name of Registered Representative

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Signature

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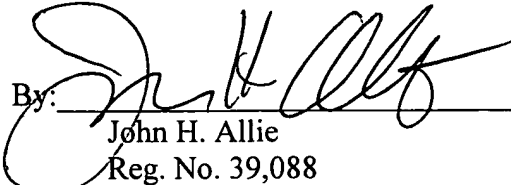
Pursuant to the duty of disclosure embodied in 37 C.F.R. §1.56, Applicants wish to bring to the attention of the Examiner the references listed on the enclosed Information Disclosure Statement. These references have not been previously submitted in this application, nor heretofore cited by the examiner. Copies of the foreign references cited on the enclosed 1449 form are enclosed herewith, and no copies of the U. S. references cited are enclosed.

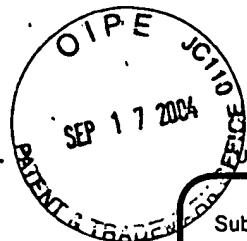
The filing of this Information Disclosure Statement shall not be construed as an admission that the information cited is, or is considered to be, material to the patentability as defined in §1.56(b).

This Statement is being submitted with the Request for Continued Examination filed herewith. It is believed that no fee is required for consideration of the submitted item. Should

any fee be required, however, please charge such fee to Deposit Account No. 23-3030, but not to include any payment of issue fees.

Respectfully submitted,

By:   
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Sheet	1	of	1	Application Number	10/705,737
				Filing Date	November 17, 2003
				First Named Inventor	John Mulloy et al.
				Group Art Unit	3748
				Examiner Name	
				Attorney Docket Number	27049-20

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-3,221,767	12-07-1965	McCarty et al.	
		US-3,384,338	05-21-1968	Dermody	
		US-3,511,470	05-12-1970	Beckett et al.	
		US-4,292,807	10-06-1981	Rannenber	
		US-4,473,223	11-27-1990	Franklin	
		US-4,499,732	02-19-1985	Szczupak et al.	
		US-4,973,223	11-27-1990	Franklin	
		US-5,410,882	05-02-1995	Davies et al.	
		US-5,439,030	08-08-1995	Pallaruelo	
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		US-6,085,525	07-11-2000	Hakansson et al.	
		US-6,089,019	07-18-2000	Roby et al.	
		US-			
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Application of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>4</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)				
		GB-1,138,941	01-01-1969			
		GB-2 044 860	10-22-1980			

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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